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Australian Financial Services Licensee - AVA CAPITAL MARKETS AUSTRALIA PTY LTD 406684

Licensee Summary

Name: AVA CAPITAL MARKETS AUSTRALIA PTY LTD
Licence Number: 406684
Status: Current
ABN: 72 143 340 907
Commenced: 09/11/2011

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Addresses

Principal Business Address: Level 13, 2-26 Park Street, SYDNEY NSW 2000
Service Address: Level 13, 2-26 Park Street, SYDNEY NSW 2000

Roles

Role: Auditor of FS Licensee
Name: PETER SHELDON
Commenced: 09/11/2011

External Dispute Resolution

Scheme: Financial Ombudsman Service
Membership Number: 25799
Commenced: 08/03/2011

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Licence Authorisation Conditions

Conditions as at:

1	16/12/2015
	<p>1. This licence authorises the licensee to carry on a financial services business to:</p> <p>(a) provide general financial product advice for the following classes of financial products:</p> <ul style="list-style-type: none"> (i) derivatives; and (ii) foreign exchange contracts; <p>(b) deal in a financial product by:</p> <ul style="list-style-type: none"> (i) issuing, applying for, acquiring, varying or disposing of a financial product in respect of the following classes of financial products: <ul style="list-style-type: none"> (A) derivatives; and (B) foreign exchange contracts; and (ii) applying for, acquiring, varying or disposing of a financial product on behalf of another person in respect of the following classes of products: <ul style="list-style-type: none"> (A) derivatives; and (B) foreign exchange contracts; and <p>(c) make a market for the following financial products:</p> <ul style="list-style-type: none"> (i) foreign exchange contracts; and (ii) derivatives; <p>to retail and wholesale clients.</p>
2	27/02/2014
3	19/07/2013
4	03/12/2012
5	09/11/2011

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