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Australian Financial Services Licensee - AETOS CAPITAL GROUP PTY LTD 313016

Licensee Summary

Name: AETOS CAPITAL GROUP PTY LTD
Licence Number: 313016
Status: Current
ABN: 94 125 113 117
Commenced: 12/10/2007

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Addresses

Principal Business Address: Level 15, 122 Arthur Street, NORTH SYDNEY NSW 2060
Service Address: Level 15, 122 Arthur Street, NORTH SYDNEY NSW 2060

Roles

Role: Auditor of FS Licensee
Name: WALKER WAYLAND NSW
Commenced: 07/07/2014

External Dispute Resolution

Scheme: Financial Ombudsman Service
Membership Number: 29539
Commenced: 09/12/2011

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Licence Authorisation Conditions

Conditions as at:

- 1 13/02/2015
 1. This licence authorises the licensee to carry on a financial services business to:
 - (a) provide general financial product advice for the following classes of financial products:
 - (i) deposit and payment products limited to:
 - (A) basic deposit products;
 - (ii) derivatives; and
 - (iii) foreign exchange contracts;
 - (b) deal in a financial product by:
 - (i) issuing, applying for, acquiring, varying or disposing of a financial product in respect of the following classes of financial products:
 - (A) derivatives; and
 - (B) foreign exchange contracts; and
 - (ii) applying for, acquiring, varying or disposing of a financial product on behalf of another person in respect of the following classes of products:
 - (A) deposit and payment products limited to:
 - (1) basic deposit products;
 - (B) derivatives; and
 - (C) foreign exchange contracts; and
 - (c) make a market for the following financial products:
 - (i) foreign exchange contracts; and
 - (ii) derivatives; to retail and wholesale clients.
 - 2 27/01/2012
 1. This licence authorises the licensee to carry on a financial services business to:
 - (a) provide financial product advice for the following classes of financial products:
 - (i) deposit and payment products limited to:
 - (A) basic deposit products;
 - (ii) derivatives; and
 - (iii) foreign exchange contracts;
 - (b) deal in a financial product by:
 - (i) issuing, applying for, acquiring, varying or disposing of a financial product in respect of the following classes of financial products:
 - (A) derivatives; and
 - (B) foreign exchange contracts; and
 - (ii) applying for, acquiring, varying or disposing of a financial product on behalf of another person in respect of the following classes of products:
 - (A) deposit and payment products limited to:
 - (1) basic deposit products;
 - (B) derivatives; and
 - (C) foreign exchange contracts; and
 - (c) make a market for the following financial products:
 - (i) foreign exchange contracts; and
 - (ii) derivatives; to retail and wholesale clients.
 - 3 12/10/2007
 1. This licence authorises the licensee to carry on a financial services business to:
 - (a) provide general financial product advice only, for the following classes of financial products:
 - (i) deposit and payment products limited to:
 - (A) basic deposit products;
 - (ii) derivatives;
 - (iii) foreign exchange contracts; and
 - (iv) interests in managed investment schemes limited to:
 - (A) own managed investment scheme only; and
 - (b) deal in a financial product by:
 - (i) issuing, applying for, acquiring, varying or disposing of a financial product in respect of the following classes of financial products:
 - (A) derivatives;
 - (B) foreign exchange contracts; and
 - (C) interests in managed investment schemes limited to:
 - (1) own managed investment scheme only; and
 - (ii) applying for, acquiring, varying or disposing of a financial product on behalf of another person in respect of the following classes of products:
 - (A) deposit and payment products limited to:
 - (1) basic deposit products;
 - (B) derivatives; and
 - (C) foreign exchange contracts;

Representatives

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Showing the first 3 Financial Services Representatives in alphabetical order

Name (*indicates former name)	Number	Role	Commenced
APPEL SOCIETY PTY LTD	001008310	Authorised Representative	15/05/2015
BOLLINGER ACADEMIC PTY LTD	000445592	Authorised Representative	17/09/2013
ELLIOT WAVE PTY LTD	001259038	Authorised Representative	17/10/2017

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